



03-1901

CPA/1973 88

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing

CHECK BOX, if applicable

 DUPLICATE

(Only for Continuation or Divisional applications under 37 CFR1.53(d))

| | | |
|--|---|--------------------|
| Address to: Commissioner for Patents Box CPA Washington, DC 20231 | Attorney Docket No. of Prior Application | KV-28.21 |
| | First Named Inventor | David J. SCHANZLIN |
| | Examiner Name | Willse, D. |
| | Group / Art Unit | 3738 |
| | Express Mail Label No. | EL561389849US |

This is a request for a continuation or divisional application under 37 CFR1.53(d),(continued prosecution application (CPA)) of prior application number 08/993,696filed on December 18, 1997, entitled Radial Intrastromal Corneal Insert and a Method of Insertion.NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form.
Provide credit card information and authorization on PTO-2038.

1. Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application
2. A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
 - a. **DELETE** the following inventor(s) named in the prior nonprovisional application:

b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
 - a. PTO-1449
 - b. Copies of IDS Citations

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99.00 66 33

Ref#: 03/21/2001 HUONG1 00000015 08993696
Name/Number: 08993696
DAB/501295
FC: 704

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| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|------------------|------------------|-------------------------------|------------------|
| | TOTAL CLAIMS (37 CFR 1.16(c) or (j)) | 31-20* = | 11 | x \$18.00= | \$ 198.00 |
| | INDEPENDENT CLAIMS 37 CFR 1.16(b) or (i)) | 2-3** = | 0 | x \$ | 0.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | x \$ _____ = | |
| | | | | BASIC FEE (37 CFR 1.16) | 710.00 |
| | | | | Total of above Calculations = | 908.00 |
| | Reduction by 50% for filing by small entity (Note 37 CFR 1.27). | | | | 454.00 |
| | * Relissue claims in excess of 20 and over original patent. ** Relissue independent claims over original patent. | | | TOTAL = | \$454.00 |

6. Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50 - 1295 :

- Fees required under 37 CFR 1.16.
- Fees required under 37 CFR 1.17.

8. A check in the amount of \$ 659.00 is enclosed.

9. Payment by credit card. Form PTO-2038 is attached.

10. Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(l) enclosed).

11. New Attorney Docket Number, if desired
[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]

12. a. Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
b. Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

13. Other: Petition for Extension of Time (1 Month – in duplicate)

NOTE: The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

12. NEW CORRESPONDENCE ADDRESS

| | | | |
|--|---|-----------|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | | <input type="checkbox"/> or <input checked="" type="checkbox"/> New correspondence address below |
| Name | Harry J. Macey KeraVision, Inc. | | |
| Address | 48630 Milmont Drive | | |
| City | Fremont | State | California |
| Zip Code | 94538-7353 | | |
| Country | USA | Telephone | (510) 353-3000 |
| | | | Fax (510) 353-3030 |

13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | |
|-----------------------------------|--|
| Name (Print /Type) | Harry J. Macey |
| Signature | <i>Harry J. Macey (3/16/2001) for Harry J. Macey</i> |
| Registration No. (Attorney/Agent) | 32,818 |
| Date | March 16, 2001 |